# Chapter II Introduction to Witt Rings

Satya Mandal

University of Kansas, Lawrence KS 66045 USA

May 11 2013

# 1 Definition of $\widehat{W}(F)$ and W(F)

From now on, by a quadratic form, we mean a **nonsingular** quadratic form (see page 27). As always, F will denote a field with  $char(F) \neq 2$ . We will form two groups out of all isomorphism classes of quadratic forms over F, where the orthogonal sum will be the addition. We need to define a Monoid. In fact, a monoid is like an abelian group, where elements need not have an inverse.

**Definition 1.1.** A monoid is a set M with a binary operation + satisfying the following properties:  $\forall x, y, x \in M$ , we have

- 1. (Associativity) (x + y) + z = x + (y + z).
- 2. (Commutativity) x + y = y + x
- 3. (Identity) M has an additive identity (zero)  $0 \in M$  such that 0+x=x.

We define the Grothendieck group of a monoid.

**Theorem 1.2.** Suppose M is a monoid. Then there is an abelian group G with the following properties:

- 1. There is a homomorphism  $i: M \longrightarrow G$  the binary structure,
- 2. G is generated by the image M.
- 3. G has the following universal property: suppose  $\mathcal{G}$  be any abelian group and  $\varphi: M \longrightarrow \mathcal{G}$  is a homomorphism of binary structures. Then there is a unique homomorphim  $\psi: G \longrightarrow \mathcal{G}$  such that the diagram



**Proof.** (The proof is like that of localization. Law gives a proof when M is cancellative.) We define an equivalence realtion  $\sim$  on  $M \times M$  as follows: for  $x, y, x', y' \in M$  define

$$(x,y) \sim (x',y')$$
 if  $x+y'+z = x'+y+z$  for some  $z \in M$ .

<u>(Think of (x, y) = x - y.)</u> We will denote the equivalence class of (x, y) by  $(\overline{(x, y)})$ . We let G be the set of the equivalence classes. Define "addition" by  $(\overline{(x, y)} + \overline{(u, v)}) := \overline{(x + u, y + v)}$ . Then, G is a group.  $(\overline{(0, 0)})$  acts as the zero of G and  $-(\overline{(x, y)}) = (\overline{(y, x)})$ .

Define  $i: M \longrightarrow G$  by  $i(x) = \overline{(x, 0)}$ . It is a homomorphism of binarystructures. It follows it is injective and G is generated by M.

For the universal property, define  $\psi(x, y) = \varphi(x) - \varphi(y)$ .

**Definition.** This groups is called the Grothendieck group of M. It is sometimes denoted by Groth(M).

#### Examples.

1. Let V(F) be the isomorphims classes of finite dimensional vector spaces. Then, V(F) is a (cancellative) monoid, under the operation  $\oplus$ , direct sum. It follows easily (check or ask me to check) that  $Groth(V(F)) \approx \mathbb{Z}$ . 2. Let A be a commutative ring. Let  $\mathcal{P}(A)$  be the set of all isomorphism classes of finitely generated projective A-modules.  $\mathcal{P}(A)$  is a monoid under the operation  $\oplus$ , direct sum. (Note  $\mathcal{P}(A)$  not cancellative). We denote

$$K_0(A) := Groth(\mathcal{P}(A))$$
 called the Grothendieck group

of projective modules. (Note, this approach to define Grothendiek Group  $G_0(A)$  of finitely generated A-modules does not work.)

3. Our interest in this course is the monoid M = M(F) of all the isometry classes of quadratic forms. It is a (cancellative) monoid, under the orthogonal sum  $\perp$ .

**Definition 1.3.** Let M = M(F) denote the monoid of all nonsingular isometry classes of quadratic spaces over F. Define Grothendieck-Witt Group

$$\widehat{W}(F) := Groth(M(F)).$$
 By cnacellation  $M(F) \hookrightarrow \widehat{W}(F).$ 

In deed,  $\widehat{W}(F)$  has a ring structure. The multiplicative structue is given by tensor product of quadratic forms defined in §1.6. That means,

1. For  $x = [(V_1, q_1)], y = [(V_2, q_2))] \in \widehat{W}(F)$  define,

 $xy := [(V_1 \otimes V_2, q_1 \otimes q_2)]$ 

- 2. We can check all the properties of ring for  $\perp$  and the tensor product:
  - (a) Since tensor product is commutative (up to isomorphim), the multiplication on  $\widehat{W}(F)$  is a commutative: i. e. xy = yx.
  - (b) (Distributivity) x(y+z) = xy + xz
  - (c)  $\langle 1 \rangle$  is the multiplicative identity.

So,  $\widehat{W}(F)$  is a commutative ring.

Furhter Comments:

- 1. Any element  $x \in \widehat{W}(F)$  can be written as  $x = q_1 q_2$  where  $q_1, q_2$  are nonsingular quadratic forms.
- 2. For two quadratic form  $q_1, q_2$  We have  $q_1 = q_2 \in \widehat{W}(F) \iff q_1 \cong q_1$ .

**Proof.** Suppose  $q_1 = q_2 \in \widehat{W}(F)$ . Then,  $(q_1, 0) \sim (q_2, 0)$  and hence,  $q_1 + z \cong q_2 + z$  for some quadratic space z. By cancellation  $q_1 \cong q_2$ . The proof is complete.

3. The dimension function induces a homomorphims of binary structures

$$\dim: M(F) \longrightarrow \mathbb{Z} \qquad (V,q) \mapsto \dim V.$$

4. By the universal property, the dimension function induces a homomorphim of groups

 $\dim: \widehat{W}(F) \longrightarrow \mathbb{Z} \qquad q_1 - q_2 \mapsto \dim q_1 - \dim q_2.$ 

In fact, it is a homomorphism of rings.

- 5. The kernel of the homomorphism is denoted by  $\widehat{I}(F)$  is called the Fundamental ideal of  $\widehat{W}(F)$ .
- 6. We have,

$$\frac{\widehat{W}(F)}{\widehat{I}(F)} \approx \mathbb{Z}$$

This ideal is truly fundamental in this theory. Voevodsky received Fields Medal, for proving Milnor's conjecture, concerning these ideals.

**Proposition 1.4.** The fundamental ideal  $\widehat{I}(F)$  is additively generated by

the expressions 
$$\langle a \rangle - \langle 1 \rangle$$
, with  $a \neq 0$ .

**Proof.** Clearly, for all  $a \neq 0$  the elements  $\langle a \rangle - \langle 1 \rangle \in \widehat{I}(F)$ . Let  $z \in \widehat{I}(F)$ . Then,  $z = q_1 - q_2$  where  $q_1, q_2$  are nonsingualr and dim  $q_1 = \dim q_2 = n$  (say). We diagonalize

$$q_1 = \langle a_1, \dots, a_n \rangle, \quad q_1 = \langle b_1, \dots, b_n \rangle$$

So,

$$z = q_1 - q_2 = \sum_{i=1}^n \langle a_i \rangle - \sum_{i=1}^n \langle b_i \rangle = \sum_{i=1}^n (\langle a_i \rangle - \langle 1 \rangle) - \sum_{i=1}^n (\langle b_i \rangle - \langle 1 \rangle).$$

The proof is complete.

The following is a primary object of our study.

**Definition 1.5.** Define the Witt Ring

$$W(F) := \frac{\widehat{W}(F)}{\mathbb{H} \cdot \mathbb{Z}}$$

Clearly, W(F) inherits the ring structure from  $\widehat{W}(F)$ .

**Proposition 1.6.** 1. There is an 1 to 1 correspondence between the

isometry classes of all anisotropic forms  $\longleftrightarrow$  W(F)

- 2. Two (nonsingular) forms q, q' represent the same element in W(F) if and only if  $q_a \cong q'_a$ . (In this case we say q, q' are "Witt-similar".)
- 3. If dim  $q = \dim q'$  then q, q' represent the same element in W(F) if and only if  $q \cong q'$ .

**Proof.** Suppose  $x \in W(F)$ . Then,  $x = q_1 - q_2 \in W(F)$  for two nongingular forms. Since  $\langle a \rangle \perp \langle -a \rangle \cong \mathbb{H}$ , we have  $\langle -a \rangle = -\langle a \rangle$  for all nonzero  $a \in \dot{F}$ . With  $q_1 \cong \langle a_1 \rangle \perp \cdots \langle a_n \rangle$  and  $q_2 \cong \langle b_1 \rangle \perp \cdots \langle b_m \rangle$  we have

In 
$$W(F)$$
  $q_1 - q_2 = \langle a_1 \rangle \perp \cdots \perp \langle a_n \rangle \perp (\langle -b_1 \rangle \perp \cdots \langle -b_m \rangle) =: q$ 

for some some nonsingual form q. Now, we can write  $q \cong q_h \perp q_a$ , by the decomposition theorem. Therefore,  $q = q_a \in W(F)$ . So, any element  $x = q_1 - q_2 \in W(F)$  is represented by an anisotropic form. Now, we show is correspondence is 1-1. Let q, q' be anisotropic and  $q = q' \in W(F)$ . Then,  $q = q' + m\mathbb{H} \in \widehat{W}(F)$ . Without loss we assume  $m \geq 0$ . By the comment above  $q \cong q' \perp m\mathbb{H}$ . Since q is anisotropic m = 0. So,  $q \cong q'$ .

Now, (2) follows from (1). For (3), write  $q = q_h \perp q_a, q' = q'_h \perp q'_a$  where  $q_a, q'_a$  are anisotropic and  $q_h, q'_h$  are hyperbolic. Suppose  $q = q' \in W(F)$ . Then  $q_a \cong q'_a$ , by (2). Comparing dimension, we have  $q \cong q'$ .

Definition 1.7. Consider the natural homomorphism

$$i: \widehat{W}(F) \longrightarrow W(F)$$

- 1. The ideal (image)  $I(F) := i(\widehat{I}(F))$  is also called the fundamental ideal of W(F).
- 2. Note that the induced map  $i: \widehat{I}(F) \xrightarrow{\sim} I(F)$  is an isomorphism.

**Proof.** Suppose i(x) = 0. That means  $x = m\mathbb{H}$ . Considering, dimension,  $0 = \dim x = 2m$ . So, m = 0 and x = 0.

**Proposition 1.8.** A form q represents an element in  $I(F) \subseteq W(F)$  if and only if dim q is even.

**Proof.** Suppose  $x \in I(F)$  is represented by the form q. (*Note, by element in* W(F) is represented by a nonsistingular form.) In any case,  $x = q_1 - q_2$  with dim  $q_1 = \dim q_2$ . So,  $q = q_1 - q_2 + m\mathbb{H} \in \widehat{W}(F)$ . The dimension function is defined on  $\widehat{W}(F)$ . Applying this function, we have dim q = 2m is even.

Conversely, suppose dim q is even. In W(F), we have

$$q = \langle a_1, b_1 \rangle \perp \dots \perp \langle a_n, b_n \rangle$$
$$= (\langle a_1 \rangle - \langle -b_1 \rangle) \perp \dots \perp (\langle a_n \rangle - \langle -b_n \rangle) \in I(F).$$

Corollary 1.9. Consider the epimorphism

$$\dim: \widehat{W}(F) \twoheadrightarrow \mathbb{Z}.$$

1. dim induces an epimorphism

$$\dim_0: W(F) \twoheadrightarrow \frac{\mathbb{Z}}{2\mathbb{Z}}.$$

2. Further,  $\dim_0$  induces an isomorphism

$$\frac{W(F)}{I(F)} \xrightarrow{\sim} \frac{\mathbb{Z}}{2\mathbb{Z}}.$$

**Proof.** Consider the commutative diagram



The diagonal map at the end is well defined by the "if" part of (1.8) and it is an isomorphism by the "only if" part of (1.8).

# 2 Group of Square Classes

We exploit the group of square classes  $\frac{\dot{F}}{\dot{F}^2}$ .

1. The determinant function defines a monoid homomorphism

$$d: M(F) \longrightarrow \frac{\dot{F}}{\dot{F}^2}$$

2. It extends to

$$d: \widehat{W}(F) \longrightarrow \frac{\dot{F}}{\dot{F}^2} \quad by \quad q_1 - q_2 \mapsto d(q_1)d(q_2)^{-1} \in \frac{\dot{F}}{\dot{F}^2}$$

It does not extend to W(F), because  $det(\mathbb{H}) = -1$  need not be in  $\dot{F}^2$ .

3. However, for a quadratic form, we define signed determinant

$$d_{\pm}(q) = (-1)^{\frac{n(n-1)}{2}} d(q) \quad where \quad n = \dim q.$$

Even this fails to extend to a homomorphism on W(F).

4. We define a group structure on

$$Q(F) := \mathbb{Z}_2 imes rac{\dot{F}}{\dot{F}^2}$$

 $\forall \ (e,x), (e',x') \in Q(F) \quad define \ product \quad (e,x) \cdot (e',x') := (e+e',(-1)^{ee'}xx').$ 

- (a) This defines an abelian group structure on Q(F).
- (b)  $(0,1) \in Q(F)$  is the identity.
- (c) Also

$$(e, x) \cdot (e, (-1)^e x) = (0, (-1)^{e^2 + e} x^2) = (0, 1),$$
 which describes the inverse

(d) We have an exact sequence of groups

$$0 \longrightarrow \frac{\dot{F}}{\dot{F}^2} \longrightarrow Q(F) \longrightarrow \mathbb{Z}_2 \longrightarrow 0 \quad 1st \ homomorphism \quad x \mapsto (0, x).$$

**Proposition 2.1.** We have the following:

1. The map

 $(\dim_0, d_{\pm}): M(F) \longrightarrow Q(F)$  is a monoid epimorphism.

2. This extends to a group epimorphism

$$(\dim_0, d_{\pm}) : W(F) \twoheadrightarrow Q(F).$$

3. This induces an isomorphism

$$\frac{W(F)}{I(F)^2} \xrightarrow{\sim} Q(F).$$

**Proof.** To see  $(\dim_0, d_{\pm})$  is a monoid homomorphism, let q, q' be two nonsingular forms, with dim q = n, dim q' = n'. We compute

$$(\dim_0, d_{\pm})(q) \cdot (\dim_0, d_{\pm})(q') = \left(n + n', (-1)^{\left(nn' + \frac{(n(n-1))}{2} + \frac{(n'(n'-1))}{2}\right)} d(q) d(q')\right)$$
$$= \left(n + n', (-1)^{\left(\frac{(n+n')(n+n'-1)}{2}\right)} d(q) d(q')\right) = (\dim_0, d_{\pm})(q \perp q')$$

To see it is epimorphism, note

$$(\dim_0, d_{\pm})(\langle a \rangle) = (1, a \cdot \dot{F}^2), \quad (\dim_0, d_{\pm})(\langle 1, -a \rangle) = (0, a \cdot \dot{F}^2).$$

Now,  $(\dim_0, d_{\pm})$  extends to  $\widehat{W}(F)$  from the universal property of  $\widehat{W}(F)$ . So, (2) is established. To, see (3), note

We show that  $\beta_0(I(F)^2)$  is trivial. By (1.4) and , I(F) is additively generated by  $\langle 1 \rangle - \langle a \rangle = \langle 1, a \rangle$ . So,  $I(F)^2$  is additively generated by product  $\langle 1, a, b, ab \rangle$ . we have

$$(\dim_0, d_{\pm})(\langle 1, a, b, ab \rangle) = (0, a^2 b^2 F^2) = (0, 1).$$

So,  $\beta_0$  factors through  $f: \frac{W(F)}{I(F)^2} \to Q(F)$ . Now, we will construct an inverse  $g: Q(F) \longrightarrow \frac{W(F)}{I(F)^2}$  of f, as follows:

$$g(0,a) = \langle 1,a \rangle \pmod{I(F)^2}, \quad g(1,a) = \langle a \rangle \pmod{I(F)^2},$$

Routine checking establishes (see textbook) that g is a group homomorphism. It is easy to see that fg = Id. So, g is injective. But  $g(1, a) = \langle a \rangle \pmod{I(F)^2}$ . So, g is also surjective.

**Corollary 2.2** (Pfister).  $I(F)^2$  consists of classes of the even dimensional forms q for which  $d(q) = (-1)^{\frac{n(n-1)}{2}}$ , where  $n = \dim q$ .

**Proof.** It is restatement of (2.1) that the map  $f(q) = \left(\dim_0(q), (-1)^{\frac{n(n-1)}{2}}d(q)\right)$  is injective, while the identity of Q(F) is (0,1).

**Corollary 2.3** (Pfister). The map f induces an isomorphim  $\frac{I(F)}{I(F)^2} \xrightarrow{\sim} \frac{\dot{F}}{\dot{F}^2}$ .

**Proof.** We have the diagram

$$\begin{array}{c} \frac{I(F)}{I(F)^2} \xrightarrow{d_{\pm}} & \frac{\dot{F}}{\dot{F}^2} \\ & & & \swarrow \\ & & & \swarrow \\ \frac{W(F)}{I(F)^2} \xrightarrow{\sim} & Q(F) \end{array}$$

We only need to prove that the, restriction of f on the first line lands in  $\frac{F}{\dot{F}^2}$ . It is surjective because  $d_{\pm}(\langle 1, -a \rangle) = a$ . It is injective because all the other three maps are.

Let  $q \in I(F)$ , so dim q = 2r. So,

$$f([q]) = \left(2r, (-1)^{\frac{2r(2r-1)}{2}}d(q)\right) = (0, (-1)^r d(q)).$$

This also shows that the diagram commutes. Now, f([q]) = (0, 1) means  $(-1)^r d(q) = 1 \cdot \dot{F}^2$ .

$$f([q]) = (0,1) \iff (-1)^r d(q) = 1 \cdot \dot{F}^2 \iff d(q) = \begin{cases} 1 & \text{if } r \text{ even} \\ -1 & \text{if } r \text{ odd.} \end{cases}$$

**Corollary 2.4.** For  $q \in I(F)$ , we have dim q = 2r. Then,

$$q \in I(F)^2 \iff d(q) = \begin{cases} 1 & if \ r \ even \\ -1 & if \ r \ odd. \end{cases}$$

Corollary 2.5. The following are equivalent:

- 1.  $\widehat{W}(F)$  is noetherian.
- 2. W(F) is noetherian.
- 3.  $\frac{\dot{F}}{\dot{F}^2}$  is finite.

**Proof.**  $(1) \Longrightarrow (2)$  is obvious.

((2)  $\implies$  (3)): Note  $\frac{I(F)}{I(F)^2}$  is noetherian, over the noetherian ring  $\frac{W(F)}{I(F)}$ . Also, by (1.9)  $\frac{W(F)}{I(F)} \approx \mathbb{Z}_2$ . So,  $\frac{I(F)}{I(F)^2}$  is finite and hence, by (2.3),  $\frac{\dot{F}}{\dot{F}^2}$  is finite. ((3)  $\implies$  (1)): By diagonalization,  $\widehat{W}(F)$  is additively generated by  $\langle a \rangle$ , with  $a \in \frac{\dot{F}}{\dot{F}^2}$ . Since,  $\frac{\dot{F}}{\dot{F}^2}$  is finite,  $\widehat{W}(F)$  is finitely generated commutative ring over  $\mathbb{Z}$ . So,  $\widehat{W}(F)$  is noetherian.

**Remark 2.6.** The map  $f: \frac{W(F)}{I(F)^2} \xrightarrow{\sim} Q(F)$  in (2.1) is an isomorphism of groups. Since,  $\frac{W(F)}{I(F)^2}$  is ring, f induces a ring structure on Q(F). Further comments:

1. The multiplication is given by

$$(0, a)o(0, b) = (0, 1), (0, a)o(1, b) = (0, a), (1, a)o(1, b) = (1, ab).$$

2. For two fields F, K if there is an isomorphism  $\theta : \frac{\dot{F}}{\dot{F}^2} \xrightarrow{\sim} \frac{\dot{K}}{\dot{K}^2}$ , with  $\theta(-1) = -1$  then  $Q(F) \xrightarrow{\sim} Q(K)$ .

### **3** Some Elementary Computations

**Definition 3.1.** A field k is said to be quadratically closed, if  $\sqrt{a} \in k$  for all  $0 \neq a \in k$ .

**Theorem 3.2.** F is quadratrically closed if and only if dim :  $\widehat{W}(F) \longrightarrow \mathbb{Z}$ is an isomprphism. In this case  $W(F) \xrightarrow{\sim} \mathbb{Z}_2$ .

**Proof.** Suppose q is a form. We have

$$q = \langle a_1, \rangle \perp \langle a_2 \rangle \cdots \langle a_n \rangle = \langle b_1^2 \rangle \perp \langle b_2^2 \rangle \cdots \langle b_n^2 \rangle = n \langle 1 \rangle.$$

So, the map dim is an isomorphism. Also  $q-q' = (\dim q - \dim q')\langle 1 \rangle \in \widehat{W}(F)$ . So, dim is also injective. Note  $\mathbb{H} \mapsto 2$ .

We define signature of the form.

**Definition 3.3.** Let  $F = \mathbb{R}$  and q is a nonsingular form with dim q = n. Use diagonalization, we have  $q \cong r\langle 1 \rangle \perp (n-r)\langle -1 \rangle$  for some n, m > 0. We define signature of q; as

$$Sig(q) = 2r - n = (number of \langle 1 \rangle) - (number of \langle -1 \rangle).$$

We need to justify that this is well defined. Suppose  $q \cong s\langle 1 \rangle \perp (n-s)\langle -1 \rangle$ . Passing to Witt group

$$[q] = r[\langle 1 \rangle] - (n-r)[\langle 1 \rangle] = (2r-n)[\langle 1 \rangle].$$

Similarly,  $[q] = (2s - n)[\langle 1 \rangle]$ . So,  $(2r - n)[\langle 1 \rangle] = (2s - n)[\langle 1 \rangle]$ . It follows from (2) on (3.4 below) that r = s

So, Sig(q) is well defined.

**Proposition 3.4** (3.2). Let  $F = \mathbb{R}$ . Then:

1. There are exactly two anisotropic form at each (positive) dimesions, namely  $n\langle 1 \rangle, n\langle -1 \rangle$ .

- 2.  $W(\mathbb{R}) \xrightarrow{\sim} \mathbb{Z}$  is an isomorphism. (This is not induced by the dimension map.)
- 3. (Sylvester's Law of Inertia) Two (nonsingular) forms over F are quivalent if and only is they have same dimension and same signature.
- 4.  $\widehat{W}(\mathbb{R}) \xrightarrow{\sim} \mathbb{Z}(G)$  where G is a 2-element group.

**Proof.** We have  $\frac{\dot{F}}{\dot{F}^2} = \{\pm 1\}$ . So, a form  $q \cong n\langle 1 \rangle \perp m\langle -1 \rangle$  Clearly, q is anisotropic if and only if either n = 0 or m = 0. So, (1) is established.

We prove (2). Suppose  $x \in W(\mathbb{R})$ . There is an anisotropic form q such that x = [q]. By (1)  $q = n\langle 1 \rangle$  for some  $n \in \mathbb{Z}$ . Define  $\psi : W(\mathbb{R}) \longrightarrow \mathbb{Z}$  by  $\psi(x) := n$ . We need to ensure that  $\psi$  is well defined. So, suppose  $x = [n\langle 1 \rangle] = [m\langle 1 \rangle]$ . Assume  $n \geq m$ . So, in  $\widehat{W}(F)$  we have  $(n\langle 1 \rangle) = (m\langle 1 \rangle) + u(\mathbb{H})$ . Since, q is anisotropic, u = 0 and n = m. So,  $\psi$  is well defined. It is clear that  $\psi$  is surjective. Now suppose  $x = [n\langle 1 \rangle]$  and  $\psi(x) = 0$ . By definition n = 0. So, (2) is established.

We prove (3). Suppose  $q \cong q'$ . It is established that they have same dimesion and signature. Now suppose q, q' have same signature and dimension. We can write

$$q = m\langle 1 \rangle \perp n\langle -1 \rangle, \quad r\langle 1 \rangle \perp s\langle -1 \rangle$$

So,  $\dim q = m + n = r + s = \dim q'$ ,  $2n - \dim q = 2r - \dim q'$ . So, m = r, n = s. So, (3) is established.

We prove (4). The determiant  $d: \widehat{W}(\mathbb{R}) \longrightarrow \frac{\dot{\mathbb{R}}}{\mathbb{R}^2}$  is defined. We can use this to see  $e_1 := \langle 1 \rangle \neq \langle -1 \rangle =: e_2$ .  $e_1, e_2$  are linealry independent over  $\mathbb{Z}$ . To, see this let  $m\langle 1 \rangle + n\langle -1 \rangle = 0 \in \widehat{\mathbb{R}}$ . Taking the image in  $W(\mathbb{R})$ , we have  $(m-n)\langle 1 \rangle = 0 \in W(\mathbb{R})$ . By (2), m = n.

It is also clear that  $\widehat{W}\mathbb{R}$  is generated by  $e_1, e_2$ , as a ring. So, we have  $\widehat{W}\mathbb{R} \approx \mathbb{Z}e_1 \oplus \mathbb{Z}e_2$ . The proof is complete.

**Remark 3.5** (Skip?). We have the following, when  $F = \mathbb{R}$ .

1.  $\widehat{I}(F)$  a free abelian group generated by  $\langle 1 \rangle - \langle -1 \rangle$ . (Obvious from (4) of (3.4))

- 2.  $Sig: M(F) \longrightarrow \mathbb{Z}$  is a monoid homomorphism.
- 3. Discuss method of "completion of square" for diagonalization.

### **3.1** Over the filed $F = \mathbb{F}_q$ with $q \neq 2$

Let  $q = p^m$  for some prime  $p \neq 2$  and  $F = \mathbb{F}_q$ .

- 1.  $\dot{F}$  is cyclic group of even order q 1. (See field theory.)
- 2. So,  $\dot{F} \approx G \times \mathbb{Z}_2$  where o(G) is odd.
- 3. So,  $o\left(\frac{\dot{F}}{\dot{F}^2}\right) = 2$  (because everything has order 1 or 2.)

**Proposition 3.6** (3.4). Let  $F = \mathbb{F}_q$  and  $\frac{\dot{F}}{\dot{F}^2} = \{1, s\}$ . Then,

- 1. s is a sum of two squares, and
- 2. every (nonsingular) binary form is universal.

**Proof.** In fact,  $(1) \Longrightarrow (2)$ : Since 1, s are the only two square classes, there are at most three non-equivalent binary forms

$$f_1 = \langle 1, 1 \rangle = x^2 + y^2, \quad f_2 = \langle 1, s \rangle = x^2 + sy^2, \quad f_3 = \langle s, s \rangle = sx^2 + sy^2.$$

We need to check,  $1, s \in D(f_i)$ . Clealry,  $1, s \in D(f_2)$ . By (1)  $s = a^2 + b^2$ . So,  $s = f_1(a, b), 1 = f_1(1, 0) \in D(f_1)$ . Then,  $s = f_3(1, 0), s^2 = f_3(a, b) \in D(f_3)$ . So, (1)  $\Longrightarrow$  (2).

We will prove (1). Two cases:

- 1.  $-1 \in \dot{F}^2$ . Then,  $\langle 1, 1 \rangle \cong \langle 1, -1 \rangle$ , which is universal (because  $\mathbb{H} = X_1 X_2$ . So,  $s \in D(\langle 1, 1 \rangle$ .
- 2. Suppose  $-1 \notin \dot{F}^2$ . Consider two (finite) sets  $\dot{F}^2, 1 + \dot{F}^2$ . They are not equal, because  $1 \in \dot{F}^2$  and  $1 \notin 1 + \dot{F}^2$ . In particular, there is a  $z \in \dot{F}$  such that  $1 + z^2 \notin \dot{F}^2$ . Now by hypothesis,  $1 + z^2 \neq 0$ . Since  $\frac{\dot{F}}{\dot{F}^2} = \{1, s\}, s\dot{F}^2 = (1 + z^2)\dot{F}^2$ . So,  $s = (1 + z^2)\lambda^2$  is a sum of two squares.

# 4 Presentation of Witt Rings

We describe  $\widehat{W}(F)$  in terms of generators and relations/

**Lemma 4.1.** Let F be a field, with  $char(F) \neq 2$ . Then,  $\widehat{W}(F)$  is generated, as a commutative ring), by the set  $\{\langle a \rangle : a \in \dot{F}\}$ . Further, for  $a, b \in \dot{F}$ , we have

1. 
$$(R_0 1)$$
:  $\langle 1 \rangle = 1 (= the identity of the ring).$   
2.  $(R_0 2)$ :  $\langle a \rangle \cdot \langle b \rangle = \langle ab \rangle$ 

3. 
$$(R_03)$$
:  $\langle a \rangle + \langle b \rangle = \langle a + b \rangle \cdot (1 + \langle ab \rangle)$ , whenever  $a + b \in F$ .

**Proof.**  $R_0 1, R_0 2$  follows from definition of product. We have

$$d(\langle a \rangle + \langle b \rangle) = ab\dot{F}^2,$$

•

and

$$d((\langle a+b\rangle \cdot (1+\langle ab\rangle)) = d(\langle a+b\rangle + \langle a^b+ab^2\rangle) = (a+b)(a^2b+ab^2)\dot{F}^2 = (a+b)\dot{F}^2.$$

Also, a + b is represented by both sides. Now  $R_03$  follows from I.5.1.

**Theorem 4.2** (4.1). Let  $R = \mathbb{Z}[X_a : a \in \dot{F}]$  be the polynomial ring over  $\mathbb{Z}$ , where  $X_a$  are indeterminates (possibly, infinitely many). Let I be the ideal generated by the set  $R1 \cup R2 \cup R3$  where

1. 
$$R1 = \{X_1 - 1\}$$
  
2.  $R2 = \{X_{ab} - X_a X_b : a, b \in \dot{F}\}$   
3.  $R3 = \{X_a + X_b - X_{a+b}(1 + X_{ab}) : a, b \in \dot{F} \text{ with } a + b \in \dot{F}\}$ 

Then  $\frac{R}{I} \approx \widehat{W}(F)$ .

**Proof.** As usual define a ring homomorphism

$$f_0: R \longrightarrow \widehat{W}(F) \quad by \quad \varphi_0(X_a) = \langle a \rangle$$

By lemma 4.1,  $f_0(I) = 0$ . So,  $f_0$  induces a homomorphism  $\varphi$ , such that



We will define an inverse of f. We define a monoid homomorphism  $\varphi$ :  $M(F) \longrightarrow \frac{R}{I}$  as follows:

Suppose q is a quadratic form. Take any diagonalization  $q = \langle a_1, \dots, a_n \rangle$ . Define

$$\varphi(q) = X_{a_1} + \dots + X_{a_n}$$

We need to check that this is well defined. Suppose

 $q = \langle b_1, \cdots, b_n \rangle$  be another diagonalization.

By Witt's chain equivalence theorem, we may assume that  $\langle a_1, \cdots, a_n \rangle$  and  $\langle b_1, \cdots, b_n \rangle$  are simply-equivalent. Without loss of generality, we can assume  $a_i = b_i \quad \forall i \geq 3$  and

$$\langle a_1, a_2 \rangle \equiv \langle b_1, b_2 \rangle.$$

Now on the image of  $X_a$  will be denoted by  $x_a$ . We have the following observation:

1. For all  $a \in \dot{F}$ , we have  $x_{a^2} = 1$ .

**Proof.** First, by R1, R2 we have  $1 = x_1 = x_a x_{a^{-1}}$ . So,  $x_a$  is a unit for all  $a \in \dot{F}$ .

(a) (A) Since  $a + a = 2a \neq 0$ , by R3 we have

$$x_a + x_a = x_{2a}(1 + x_{a^2})$$

(b) (B) By R2, we have  $x_a = x_a x_1$ . Now

$$x_a + x_a = x_a x_1 + x_a x_1 = x_a [x_1 + x_1] = x_a [x_2(1 + x_1)] \quad by \ R3$$
$$= x_{2a}(1 + x_1) \qquad by \ R2$$

Comparing (A), (B) and cancelling, we have  $x_{a^2} = x_1 = 1$ .

Now, we have

$$\left(\begin{array}{cc}b_1 & 0\\ 0 & b_2\end{array}\right) = \left(\begin{array}{cc}x & y\\ z & w\end{array}\right) \left(\begin{array}{cc}a_1 & 0\\ 0 & a_2\end{array}\right) \left(\begin{array}{cc}x & z\\ y & w\end{array}\right).$$

It follows,  $b_1 = a_1 x^2 + a_2 y^2$  and taking determinant  $a_1 a_2 = b_1 b_2 c^2$  for some  $c \in \dot{F}$ .

1. Case 1. x = 0 or y = 0. Without loss of generality x = 0. So,  $b_1 = a_2 y^2$ . By R2 we have  $x_{b_1} = x_{a_2 y^2} = x_{a_2} x_{y^2} = x_{a_2}$ . Also,

$$x_{a_1} = x_{b_2 \frac{b_1}{a_1} c^2} = x_{b_2 y^2 c^2} = x_{b_2}$$

Therefore

$$x_{a_1} + x_{a_2} = x_{b_1} + x_{b_2}.$$

2. Case 2.  $x \neq 0, y \neq 0$ . In this case,

$$x_{a_1} + x_{a_2} = x_{a_1x^2} + x_{a_2y^2} = x_{a_1x^2 + a_2y^2} (1 + x_{a_1a_2(xy)^2})$$
$$= x_{b_1}(1 + x_{a_1a_2}) = x_{b_1}(1 + x_{b_1b_2}) = x_{b_1} + x_{b_2}$$

So,  $\varphi$  is well defined. It is clearly a monoid homomorphism.

By definition of Grothendieck group,  $\varphi$  extends to a group homomorphism  $\varphi: \widehat{W}(F) \longrightarrow \frac{R}{I}$ . Clearly,  $\varphi$  is the inverse of f.

# 5 Classification of Small Witt Rings

SKIP.