

K -theory of the categories of (perfect) CM -modules

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Prelude

- ▶ I hope to be able to show,
how much **commutative algebra** is in there to
cultivate and harvest, in **Algebraic K -Theory**.

Prelude

- ▶ Algebraic K -Theory may mean different things to **different cohort**.
- ▶ Most of them are doing Motivic (\mathbb{A}^1) Homotopy. They call that Algebraic K -Theory.
- ▶ I talk about Quillen K -Theory.
- ▶ Then this is that good old Classocal K -Theory. $K_0(A)$, $K_1(A)$, $K_2(A)$ for a commutative ring A .

Basic Notations

- ▶ Throughout, X will denote a quasi projective scheme over a noetherian affine scheme $\text{Spec}(A)$.

You can assume $X = \text{Spec}(A)$.

- ▶ We use the usual notations

$$\left\{ \begin{array}{l} \text{QCoh}(X) = \text{Category of quasi coherent modules on } X \\ \text{Coh}(X) = \text{Category of coherent modules on } X \\ \mathcal{V}(X) = \text{Category of locally free modules on } X \end{array} \right.$$

(Perfect) $\mathrm{CM}(X)$ Modules

- ▶ Recall, $\mathit{grade}(M) = \min \{r : \mathcal{E}xt^r(M, \mathcal{O}_X) \neq 0\}$.
If X is Cohen-Macaulay then

$$\mathit{grade}(M) = \mathit{co\ dim}(\mathrm{Supp}(M)) = \mathit{height}(\mathit{ann}(M))$$

- ▶ For a closed subset $Z \subseteq X$,

$$\mathit{grade}(Z, X) := \mathit{grade}(\mathcal{O}_Z) \text{ with any subscheme structure } \mathcal{O}_Z$$

(Perfect) $\text{CM}(X)$ Modules

- ▶ A module $M \in \text{Coh}(X)$ will be called **CM-module** if

$$\text{grade}(M) = \dim_{\mathcal{Y}(X)} M =: k$$

- ▶ They have only one non vanishing $\mathcal{E}xt^k(M, \mathcal{O}_X) \neq 0$.
- ▶ A $\text{CM}(X)$ -module is also called a **Perfect** module.
There is a limited amount of literature on these.

Examples

- ▶ Suppose $\mathcal{I} \subseteq \mathcal{O}_X$ is a locally complete intersection ideal with $\mathrm{height}(\mathcal{I}) = k$. Then

$$M = \frac{\mathcal{O}_X}{\mathcal{I}} \text{ is a } \mathrm{CM}(X)\text{-module.}$$

Notations

Let $k \in \mathbb{Z}$ $k \geq 0$. Denote the full subcategories

$$\left\{ \begin{array}{l} \mathbb{M}(X) = \{M \in \text{Coh}(X) : \dim_{\mathcal{V}(X)}(M) < \infty\} \\ \mathbb{M}^k(X) = \{M \in \mathbb{M}(X) : \text{grade}(M) \geq k\} \\ \text{CM}^k(X) = \{M \in \mathbb{M}(X) : \text{grade}(M) = \dim_{\mathcal{V}(X)}(M) = k\} \end{array} \right.$$

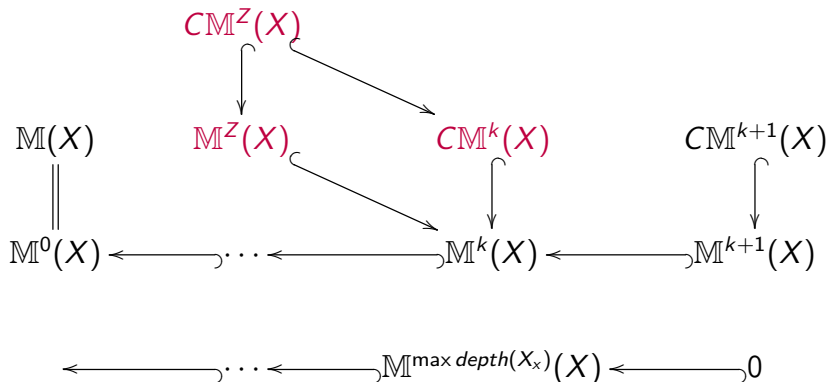
Notations

Let $Z \subseteq X$ be closed. Denote the full subcategories

$$\left\{ \begin{array}{l} \mathit{Coh}^Z(X) = \{M \in \mathit{Coh}(X) : \text{Supp}(M) \subseteq Z\} \\ \mathbb{M}^Z(X) = \{M \in \mathbb{M}(X) : \text{Supp}(M) \subseteq Z\} \\ \mathit{CM}^Z(X) = \{M \in \mathbb{M}^Z(X) : \dim_{\mathcal{Y}(X)}(M) = \text{grade}(\mathcal{O}_Z)\} \\ \mathit{D}^b(\mathcal{E}) = \text{Bounded Derived Category of } \mathcal{E} \end{array} \right.$$

Filtration and subcategories

With $k = \text{grade}(Z, X)$, we have the diagram of subcategories:



Derived Equivalences

In the following (two) lines, all the horizontal functors are **equivalences of derived categories**.

$$\begin{array}{ccccccc}
 D^b(CM^k(X)) & \xrightarrow{\sim_{\zeta}} & D^b(M^k(X)) & \xrightarrow{\sim_{\iota}} & \mathcal{D}^k(M(X)) & \xleftarrow{\sim_{\iota'}} & \mathcal{D}^k(\mathcal{V}(X)) \\
 \uparrow \text{Dotted} & & \nearrow \text{Dotted} & & \uparrow \text{Dotted} & & \nearrow \text{Dotted} \\
 \text{Derived Cat} & & & & \text{Derived SubCat} & & D^b(\mathcal{V}(X)) \\
 \downarrow \text{Dotted} & & \searrow \text{Dotted} & & \downarrow \text{Dotted} & & \downarrow \text{Dotted} \\
 D^b(CM^Z(X)) & \xrightarrow{\sim_{\zeta}} & D^b(M^Z(X)) & \xrightarrow{\sim_{\iota}} & \mathcal{D}^Z(M(X)) & \xleftarrow{\sim_{\iota'}} & \mathcal{D}^Z(\mathcal{V}(X)) \\
 & & & & & & \uparrow \text{Dotted}
 \end{array}$$

K -Theory and Commutative Algebra

- ▶ K -Theory is an **invariant of such** Derived categories.
- ▶ Main ingredient to prove these Derived equivalences comes from the some commutative algebra, as follows.

Commutative Algebra: Theorem:

X be quasi projective, $Z \subseteq X$ closed, $grade(Z, X) = k$. Let

$$\cdots \longrightarrow \mathcal{F}_k \longrightarrow \cdots \longrightarrow \mathcal{F}_1 \longrightarrow \mathcal{F}_0 \longrightarrow \mathcal{F}_{-1}$$

be a complex in $Coh(X) \ni \mathcal{H}_i(\mathcal{F}_\bullet) \in Coh^Z(X), \forall 0 \leq i \leq k$.

Then there are maps of complexes $\nu_\bullet : \mathcal{E}_\bullet \longrightarrow \mathcal{F}_\bullet$ as follows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{E}_k & \longrightarrow & \cdots & \longrightarrow & \mathcal{E}_1 & \longrightarrow & \mathcal{E}_0 & \longrightarrow & 0 \\ & & \downarrow \nu_k & & & & \downarrow \nu_1 & & \downarrow \nu_0 & & \\ \cdots & \longrightarrow & \mathcal{F}_k & \longrightarrow & \cdots & \longrightarrow & \mathcal{F}_1 & \longrightarrow & \mathcal{F}_0 & \longrightarrow & \mathcal{F}_{-1} \end{array}$$

Continued: Theorem:

such that

1. $\mathcal{E}_i \in \mathcal{V}(X) \forall i$, and $\mathcal{E}_i = 0$ unless $0 \leq i \leq k$.
2. The map $\mathcal{H}_0(\nu_\bullet) : \mathcal{H}_0(\mathcal{E}_\bullet) \twoheadrightarrow \mathcal{H}_0(\mathcal{F}_\bullet)$ is surjective.
3. $\mathcal{H}_0(\mathcal{E}_\bullet) \in CM^k(X)$ and $\mathcal{H}_i(\mathcal{E}_\bullet) = 0 \forall i \neq 0$.

Commutative Algebra: Comments:

- ▶ \mathcal{E}_\bullet would direct sum of certain Koszul complexes.
- ▶ Method of proofs goes back to a unpublished paper of Hans-Bjørn Foxby, executed for finite length homologies. Same was use in the paper of Roberts-Srinivas.
- ▶ In our case, we embed $X \subseteq Y = \text{Proj}(S)$. The proof essentially reduces to the case, when $X = Y = \text{Proj}(S)$. Then (1) **Prime avoidance works**, (2) There is a correspondence between the **Graded S -modules** and **Quasi coherent sheaves** on Y .

K-Theory Spaces

Applications To K – Theory

K-Theory Spaces

- ▶ Define the **K-Theory space** of a (small) exact category \mathcal{E} ,

$$K(\mathcal{E}) = \Omega |N_{\bullet}(\mathbb{Q}\mathcal{E})|$$

$$\left\{ \begin{array}{l} \mathbb{Q}\mathcal{E} = \text{the } \mathbb{Q} \text{ category of } \mathcal{E} \\ N_{\bullet}(\mathbb{Q}\mathcal{E}) = \text{the Nerve of } \mathbb{Q}\mathcal{E}; \text{ a simplicial set} \\ |N_{\bullet}(\mathbb{Q}\mathcal{E})| = \text{the Geometric realization,} \\ \text{AKA the classifying space of } \mathbb{Q}\mathcal{E} \\ \Omega |N_{\bullet}(\mathbb{Q}\mathcal{E})| = \text{the loop space (space of all loops)} \end{array} \right.$$

K -Groups

- ▶ The K -groups are defined as $K_n(\mathcal{E}) = \pi_n(K(X))$
- ▶ The K -theory **spectra** is also defined, to obtain **negative K -groups**, which we postpone or skip.

As Functors

- ▶ Let $\underline{\text{CatExact}}$ denote the category of (small) exact categories, and Exact Functors.

- ▶ The association

$$\left\{ \begin{array}{l} \mathcal{E} \mapsto K(\mathcal{E}) \\ F \mapsto K(F) \end{array} \right. \left\{ \begin{array}{l} \text{is a functor } \underline{\text{CatExact}} \longrightarrow \underline{\text{Top}} \\ \text{In fact, } K(\mathcal{E}) \text{ is a } \textit{CW complex}. \end{array} \right.$$

- ▶ The association

$$\left\{ \begin{array}{l} \mathcal{E} \mapsto K_n(\mathcal{E}) \\ F \mapsto K_n(F) \end{array} \right. \left\{ \begin{array}{l} \text{is a sequence of functors} \\ \underline{\text{CatExact}} \longrightarrow \underline{\text{Ab}} \end{array} \right.$$

Primary Interest

X is quasi projective/ $\text{Spec}(A)$, $Z \subseteq X$ is closed, $U = X - Z$.

- ▶ The K-Theory of $\text{Coh}(X)$ and $\mathcal{V}(X)$ are of interest.
- ▶ Relationships between the K-theories, $K(\text{Coh}(X))$, $K(\text{Coh}(U))$, $K(\text{Coh}(Z))$, and $K(\mathcal{V}(X))$, $K(\mathcal{V}(U))$, $K(\mathcal{V}(Z))$ are of interest.

Clarifications are needed, what kind of scheme structure we impose on Z , if any.

Primary Interest

- ▶ Quillen's results on $K(\text{Coh}(X))$, are most up to date. So, rest of the talk, we **focus on $K(\mathcal{V}(X))$** .
- ▶ There is also interest in **Grothendieck-Witt theory**, by incorporating dualities, when available. For example, $\mathcal{V}(X)$ has a natural duality $\mathcal{E} \mapsto \text{Hom}(\mathcal{E}, \mathcal{O}_X)$. For exact categories (\mathcal{E}, \vee) with a duality, one associates a Grothendieck-Witt space **$GW(\mathcal{E}, \vee)$** . Theory works **fairly similar to K -Theory**. I will skip them today.

Primary Interest

- ▶ It follows from the **resolution theorem** that

$$K(\mathcal{V}(X)) \xrightarrow{\sim} K(\mathbb{M}(X)) \quad \text{is a homotopy equivalence.}$$

Consequently,

$$K_n(\mathcal{V}(X)) \xrightarrow{\sim} K_n(\mathbb{M}(X)) \quad \text{is isomorphism, } \forall n \geq 0.$$

Exercise: Prove $K_0(\mathcal{V}(X)) \cong K_0(\mathbb{M}(X))$.

- ▶ So, our focus is now on $\mathbb{M}(X)$.

The Homotopy Fiber

As before X is quasi projective, $Z \subseteq X$ is closed, $U = X - Z$.

- ▶ Note U has a natural subscheme structure. Consider the map of K-Theory spaces $K(\mathcal{V}(X)) \xrightarrow{\varepsilon} K(\mathcal{V}(U))$
- ▶ Topologically, there is a Homotopy Fiber:

$$\mathcal{F}(\varepsilon) \rightarrow K(\mathcal{V}(X)) \xrightarrow{\varepsilon} K(\mathcal{V}(U))$$

The Triangle Fiber

- ▶ This leads to a long exact sequence of Homotopy groups

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \pi_n(\mathcal{F}(\varepsilon)) & \longrightarrow & K_n(\mathcal{V}(X)) & \xrightarrow{\varepsilon} & K_n(\mathcal{V}(U)) \\ & & & & & & \\ & & \longrightarrow & \pi_{n-1}(\mathcal{F}(\varepsilon)) & \longrightarrow & \cdots & \end{array}$$

The Triangles

- ▶ They are like Triangles of spaces:

$$\begin{array}{ccc}
 & \mathcal{F}(\varepsilon) & \\
 \iota \swarrow & & \nwarrow \Omega K \mapsto \mathcal{F}(\varepsilon) \\
 K(\mathcal{V}(X)) & \xrightarrow{\varepsilon} & K(\mathcal{V}(U))
 \end{array}$$

$$\begin{array}{ccc}
 & \pi_{\bullet}(\mathcal{F}(\varepsilon)) & \\
 \swarrow & & \nwarrow -1 \\
 K_{\bullet}(\mathcal{V}(X)) & \xrightarrow{\varepsilon} & K_{\bullet}(\mathcal{V}(U))
 \end{array}$$

The Description

- ▶ So, the question is what kind of Algebraic description can we give for $\mathcal{F}(\varepsilon)$, which should be basically depend on Z .
- ▶ **Theorem.** We have

$$\begin{aligned} K(\mathrm{CM}^Z(X)) &\xrightarrow{\sim} \mathcal{F}(\varepsilon) && \text{is a homotopy equivalence} \\ K_n(\mathrm{CM}^Z(X)) &\xrightarrow{\sim} \pi_n(\mathcal{F}(\varepsilon)) && \text{is an isomorphism } \forall n \end{aligned}$$

The Exact sequence

Consequently, there is a long exact sequence of \mathbb{K} -groups

...

...

...

$$K_1(\mathbb{C}M^Z(X)) \longrightarrow K_1(\mathcal{V}(X)) \xrightarrow{\varepsilon} K_1(\mathcal{V}(U)) \longrightarrow$$

$$K_0(\mathbb{C}M^Z(X)) \longrightarrow K_0(\mathcal{V}(X)) \xrightarrow{\varepsilon} K_0(\mathcal{V}(U)) \longrightarrow$$

$$K_{-1}(\mathbb{C}M^Z(X)) \longrightarrow K_{-1}(\mathcal{V}(X)) \xrightarrow{\varepsilon} K_{-1}(\mathcal{V}(U)) \longrightarrow$$

...

...

Known Results

- ▶ The results above is an improvement of a result of Quillen, stated when $\text{codim}(Z) = 1$. This was written down in a paper Daniel Grayson.
- ▶ In between, a description of the homotopy fiber $\mathcal{F}(\varepsilon)$ was given by Thomason. This was stated in terms of K -Theory of chain complexes of respective exact categories.

Jargon of Spectra

- ▶ There is a zig-zag sequence

$$\mathbb{K}(\mathrm{CM}^Z(X)) \longrightarrow \mathbb{K}(\mathcal{V}(X)) \longrightarrow \mathbb{K}(\mathcal{V}(U))$$

that is a homotopy fibration of \mathbb{K} -Theory spectra.

Jargon of Spectra : Delooping

- ▶ A spectra is a sequence $\mathcal{X} := \{X_0, X_1, \dots\}$ of pointed topological spaces, together with homotopy equivalences

$$\Omega X_n \xrightarrow[\sim]{\sigma_n} X_{n+1} \quad \text{to be called the **Bonding maps** .}$$

- ▶ Define

$$\pi_k(\mathcal{X}) := \begin{cases} \pi_k(X_0) & k \geq 0 \\ \pi_0(X_{-k}) = \dots = \pi_{-k}(X_0) & k \leq -1 \end{cases}$$

- ▶ **We do everything algebraically or formally.**

Two Step Proof:

Two main Hypotheses needs to be checked are

- ▶ There is an equivalence $D^b(CM^Z(X)) \xrightarrow{\sim} \mathcal{D}^Z(\mathcal{V}(X))$.
- ▶ The zig-zag sequence

$$\begin{array}{ccccc} D^b(CM^Z(X)) & & & & \\ \downarrow \wr & \searrow & & & \\ \mathcal{D}^Z(\mathcal{V}(X)) & \longrightarrow & D^b(\mathcal{V}(X)) & \longrightarrow & D^b(\mathcal{V}(U)) \end{array}$$

is exact up to direct summand (*up to factor*).

Two Step Proof:

$$\begin{array}{ccccc}
 & & \mathbb{K}(\mathcal{V}(X)) & \longrightarrow & \mathbb{K}(\mathcal{V}(U)) \\
 & \nearrow & \downarrow \wr & & \downarrow \wr \\
 & & \mathbb{K}(\text{Ch}^b(\mathcal{V}(X))) & \longrightarrow & \mathbb{K}(\text{Ch}^b(\mathcal{V}(U))) \\
 & & \downarrow \wr & & \downarrow \wr \\
 \mathbb{K}(\text{Ch}_Z^b(\text{CM}(X))) & \longrightarrow & \mathbb{K}(\text{Ch}^b(\text{M}(X))) & \longrightarrow & \mathbb{K}(\text{Ch}^b(\text{M}(U))) \\
 \uparrow \wr & & \uparrow & & \nearrow \\
 \mathbb{K}(\text{CM}^Z(X)) & & & &
 \end{array}$$

Agreement Homo Fibration

Recall

- ▶ We recall the Derived equivalence

$$D^b(\mathcal{C}\mathcal{M}^k(X)) \xrightarrow{\sim}_{\zeta} D^b(\mathbb{M}^k(X)) \xrightarrow{\sim}_{\iota} \mathcal{D}^k(\mathbb{M}(X)) \xleftarrow{\sim}_{\iota'} \mathcal{D}^k(\mathcal{V}(X))$$

- ▶ For any fixed integer $k \geq 0$, we run the same program, as above

Homotopy Fibration: Codimension k

- ▶ Assume X is Cohen Macaulay. For $x \in X$, denote $X_x = \text{Spec}(\mathcal{O}_{X,x})$ and $X^{(k)}$ denote the set of codimension k points.

The sequence

$$\mathbb{K}(\text{CM}^{k+1}(X)) \longrightarrow \mathbb{K}(\text{CM}^k(X)) \longrightarrow \coprod_{x \in X^{(k)}} \mathbb{K}(\text{CM}^k(X_x))$$

is a Homotopy Fibration of \mathbb{K} -Theory spectra.

The exact sequence: Co dimension k

- ▶ This leads to an exact sequence

$$\begin{array}{ccccccc}
 & & & & \cdots & \longrightarrow & \bigoplus_{x \in X^{(k)}} \mathbb{K}_{n-1}(\mathbb{C}\mathbb{M}^k(X_x)) \\
 & & & & & \nearrow & \\
 & & & & & & \\
 \mathbb{K}_n(\mathbb{C}\mathbb{M}^{k+1}(X)) & \longrightarrow & \mathbb{K}_n(\mathbb{C}\mathbb{M}^k(X)) & \longrightarrow & \bigoplus_{x \in X^{(k)}} \mathbb{K}_n(\mathbb{C}\mathbb{M}^k(X_x)) & & \\
 & & & & \nwarrow & & \\
 \mathbb{K}_{n-1}(\mathbb{C}\mathbb{M}^{k+1}(X)) & \longleftarrow & \cdots & & & &
 \end{array}$$

Gersten Complex : Co dimension k

Combine three of the above

$$\begin{array}{ccccc}
 \oplus_{x \in X^{(k-1)}} \mathbb{K}_{n+1}(\mathbb{C}\mathbb{M}^{k-1}(X_x)) & & & & \mathbb{K}_{n-1}(\mathbb{C}\mathbb{M}^{k+2}(X)) \\
 \downarrow & \searrow \text{---} & & & \downarrow \\
 \mathbb{K}_n(\mathbb{C}\mathbb{M}^k(X)) & \longrightarrow & \oplus_{x \in X^{(k)}} \mathbb{K}_n(\mathbb{C}\mathbb{M}^k(X_x)) & \longrightarrow & \mathbb{K}_{n-1}(\mathbb{C}\mathbb{M}^{k+1}(X)) \\
 \downarrow & & \searrow \text{---} & & \downarrow \\
 \mathbb{K}_n(\mathbb{C}\mathbb{M}^{k-1}(X)) & & & & \oplus_{x \in X^{(k+1)}} \mathbb{K}_{n-1}(\mathbb{C}\mathbb{M}^{k+1}(X_x))
 \end{array}$$

For $x \in X^{(k)}$, $\mathbb{C}\mathbb{M}^k(X_x)$ is the category of modules of finite length and finite projective dimension.

Gersten Complex : Co dimension k

- ▶ The broken diagonal arrows form the Gersten complex.
- ▶ There are other versions of this complex, even without the hypothesis that X is quasi-projective.
- ▶ Original Gersten complex, in Quillen's paper, deals with G -Theory (i.e. of $\text{Coh}(X)$). They terminate at the G_0 -term $\bigoplus_{x \in X^{(n)}} G_0(X_x)$.

Gersten Complex : Co dimension k

$$\begin{array}{ccc}
 0 & & 0 \\
 \downarrow & & \uparrow \\
 \mathbb{K}_n(X) & & \bigoplus_{x \in X^{(d)}} \mathbb{K}_{n-d}(\mathbb{M}^d(X_x)) \\
 \downarrow & & \uparrow \\
 \bigoplus_{x \in X^{(0)}} \mathbb{K}_n(\mathbb{M}^0(X_x)) & & \bigoplus_{x \in X^{(d-1)}} \mathbb{K}_{n-d+1}(\mathbb{M}^{d-1}(X_x)) \\
 \downarrow & & \uparrow \\
 \bigoplus_{x \in X^{(1)}} \mathbb{K}_{n-1}(\mathbb{M}^1(X_x)) & \longrightarrow & \dots
 \end{array}$$

Epilogue

- ▶ Given exact categories (\mathcal{E}, \vee) with duality, one defines a $\mathbb{G}W(\mathcal{E}, \vee)$ space (spectra) and **extend all of the above**.
- ▶ Note $\mathbb{C}M^k(X)$ has a natural duality $M \mapsto \mathcal{E}xt^n(M, \mathcal{O}_X)$. This also restricts to $\mathbb{C}M^Z(X)$ if $k = \text{grade}(Z, X)$.
- ▶ There would be a sequence $\mathbb{G}W^r(\mathcal{E}, \vee)$ of $\mathbb{G}W$ spaces (spectra), with periodicity four. You have two because, given a duality, there is a skew duality.